

Docket No. ENDOV-51641

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Arnold M. Escano, et al.

SERIAL NO.: 09/901,993

FILED: July 10, 2001

TITLE: SELF-EXPANDING

INTRAVASCULAR DEVICE WITH

PROTECTOR MEMBERS

DATE: August 30, 2001

Examiner: TBD

Group Art No.: 2163

Certificate of Mailing Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Office of Initial Patent Examination, Commissioner for Patents, Washington, D.C. 20231 on August 30, 2001.

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents Office of Initial Patent Examination Washington, D.C. 20231

Dear Sir:

Attached please find a copy of the Filing Receipt received in connection with the above-referenced application, with the correction noted thereon. It is hereby respectfully requested that a corrected receipt be issued.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

JVH:kst Enclosure Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, California 90045

Tel: (310) 824-5555 Fax: (310) 824-9696

#214801



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS TOT CLAIMS** IND CLAIMS **ENDOV-**09/901,993 2163 710 20 3 07/10/2001 51641

CONFIRMATION NO. 7940

FILING RECEIPT

OC00000006470847

24201
FULWIDER PATTON LEE & UTECHT, LLP
HOWARD HUGHES CENTER
6060 CENTER DRIVE
TENTH FLOOR
LOS ANGELES, CA 90045

Date Mailed: 08/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Arnold M. Escano, Santa Clara, CA; Shuji Uemura, San Francisco, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/24/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Self-expanding intravascular device with protector members

Preliminary Class

604

TC 3700 MAIL ROOM

Data entry by : GIRUM, BERHANU

Team : OIPE

Date: 08/24/2001

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



INTELLECTUAL PROPERTY LAW
LOS ANGELES LONG BEACH

Vern Schooley
James W. Paul
John S. Nagy
Craig B. Bailey
Stephen J. Strauss
Thomas H. Majcher
Thomas A. Runk
Michael S. Elkind
Gary M. Anderson
Ronald E. Perez
I. Morley Drucker
David G. Parkhurst

John V. Hanley
John K. Fitzgerald
Richard B. Cates
Paul Y. Feng
Gunther O. Hanke
James Juo
David J. Pitman
David S. Sarisky
Michael S. Doll
Paul D. O'Brien
Samuel L. Alberstadt
Kien T. Le
Craig McLaughlin
Brian P. Kinder

David G. Majdali Richard C. Salfelder Lisa A. Karczewski

Of Counsel Francis A. Utecht Howard N. Sommers Ellsworth R. Roston Leonard D. Messinger

Robert W. Fulwider (1903-1979) Warren L. Patton (1912-1985) John M. Lee (1921-1978)

August 30, 2001

FULWIDER PATTON LEE & UTECHT, LLP
HOWARD HUGHES CENTER
6060 CENTER DRIVE
TENTH FLOOR
LOS ANGELES, CALIFORNIA 90045
(310) 824-5555
FAX (310) 824-9696

APR 1 0 2002 C 3700 MAIL ROOM

Earl Bright, Esq.
Patent Counsel
Guidant Endovascular Solutions/
Guidant Cardiac Surgery Group
1525 O'Brien Drive
Menlo Park, CA 94025-1436

Re:

Application for U.S. Letters Patent

Entitled: SELF-EXPANDING INTRAVASCULAR

DEVICE WITH PROTECTOR MEMBERS

Serial No.: 09/901,993 Filed: July 10, 2001

Inventors: Arnold M. Escano and Shuji Uemura

Your Ref. No.: E0110

Our Docket No.: ENDOV-51641

Dear Eb:

Please find enclosed a copy of the Filing Receipt along with a copy of the Request for Corrected Filing Receipt filed with the PTO today in respect of the above-referenced matter.

Should you have any questions or concerns, please do not hesitate to contact me.

Sincerely,

John V. Hanley

For FULWIDER PATTON LEE & UTECHT, LLP

JVH/kst Enclosures #214800

Please type	a plu	s sign	(+)	inside	this	box	\rightarrow	団
-------------	-------	--------	-----	--------	------	-----	---------------	---

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no	persons are required to re	espond to a collection of informat	ion unless it displays a valid OMB control number.						
TIPA		Application Number	09/901,993						
TRANSMI	TTAL	Filing Date	July 10, 2001						
	Λ	First Named Inventor	Arnold M. Escano						
to be used for all correspondent	ce after initial filing)	Group Art Unit	2163						
PEDEMARK		Examiner Name	TBD						
Total Number of Pages in This	Submission 5	Attorney Docket Numbe	ENDOV-51641						
ENCLOSURES (check all that apply)									
Fee Transmittal Form	Assignn (for an A	nent Papers Application)	After Allowance Communication to Group						
Fee Attached	Drawing		Appeal Communication to Board of Appeals and Interferences						
Amendment / Reply	Licensin	ng-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After Final	Petition		Proprietary Information						
Affidavits/declaration(s)		to Convert to a onal Application	Status Letter						
	Power of Change	of Attorney, Revocation of Correspondence	X Other Enclosure(s) (please						
Extension of Time Request	1 [Land identify below): Postcard; Request for Corrected Filing Receipt						
Express Abandonment Request		st for Refund							
Information Disclosure Statement		ımber of CD(s)							
Certified Copy of Priority	05,10	1	C a						
Document(s)	Remarks	J	APR						
Response to Missing Parts/ Incomplete Application		[111]	24201						
Response to Missing Parts		•							
under 37 CFR 1.52 or 1.53		,	TRADEMARK OFFICE 2002						
SIGN	NATURE OF APPLI	CANT, ATTORNEY, OR	0						
Firm		· · · · · · · · · · · · · · · · · · ·							
or Individual name John V. Hanley, FULWIDER PATTON LEE & UTECHT, LLP									
Signature Joh V. Hz									
Date									
August 30, 2001									
CERTIFICATE OF MAILING									
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 8/30/2001									
Typed or printed name John	Typed or printed name John V. Hanley								
	2 V. Hz	Dat	e 8/30/2001						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.